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Attorney Docket No. MTEC002/00US (0119.00US)

PATENT  
#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of Radhika Thekkath, *et al.*

Serial No.: 09/844,671

Examiner: To be assigned

Confirmation No.: 8986

Art Unit: 2183

Filed: April 30, 2001

For: **PROGRAM COUNTER AND DATA TRACING FROM A MULTI-ISSUE  
PROCESSOR**

Commissioner for Patents  
Washington, D.C. 20231

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**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☒ A return receipt postcard is also enclosed.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

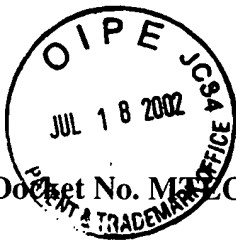
Dated: **July 18, 2002**

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Respectfully submitted,  
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By:

**Duane S. Kobayashi**  
Reg. No. 41,122



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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(b)**

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In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,  
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.  
§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. \_\_\_ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ Publication(s) \_\_\_ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to \_\_\_ application serial no. \_\_\_ and mailed on \_\_\_.
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_. English language publication \_\_\_ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) \_\_\_ for which an English translation is not available.

- ☐ Enclosed is an English translation of non-English publication(s) \_\_\_ cited in the attached Form PTO/SB/08A.
- ☒ Enclosed are copies of pending patent application serial nos. 09/844,669 (Attorney Docket No. MTEC-003/00US); 09/894,832 (Attorney Docket No. MTEC-003/01US); 09/844,668 (Attorney Docket No. MTEC-004/00US); 09/844,672 (Attorney Docket No. MTEC-005/00US); 09/844,673 (Attorney Docket No. MTEC-006/00US); 09/844,271 (Attorney Docket No. MTEC-007/00US); 09/894,831 (Attorney Docket No. MTEC-009/00US); 09/894,830 (Attorney Docket No. MTEC-010/00US); 09/844,670 (Attorney Docket No. MTEC-011/00US); and 09/751,747 (Attorney Docket No. MIPS:0105.00US).

This Information Disclosure Statement is filed within any one of the following time periods:


- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: **July 18, 2002**

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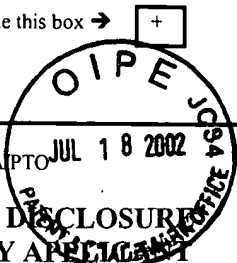
Respectfully submitted,  
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By:   
**Duane S. Kobayashi**  
**Reg. No. 41,122**

Please type a plus sign (+) inside this box →

PTO/SB/08A (08-00)

Substitute for form 1449A PTO			Complete if Known		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)			Application Number	09/844,671	
			Filing Date	April 30, 2001	
			First Named Inventor	Thekkath	
			Group Art Unit	2183	
			Examiner Name	Unassigned	
Sheet	1	of	1	Attorney Docket Number	MTEC002/00US



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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	A1	5,473,754		Folwell et al.	12/05/1995
	A2	5,491,793		Somasundaram et al.	02/13/1996
	A3	5,598,421		Tran et al.	01/28/1997
	A4	5,642,478		Chen et al.	06/24/1997
	A5	5,642,479		Flynn	06/24/1997
	A6	5,724,505		Argade et al.	03/03/1998
	A7	5,752,013		Christensen et al.	05/12/1998
	A8	5,764,885		Sites et al.	06/09/1998
	A9	5,790,561		Borden et al.	08/04/1998
	A10	5,802,272		Sites et al.	09/01/1998
	A11	5,944,841		Christie	08/31/1999
	A12	5,970,246		Moughani et al.	10/19/1999
	A13	5,978,937		Miyamori et al.	11/02/1999
	A14	5,996,092		Augsburg et al.	11/30/1999
	A15	6,061,473		Chen et al.	05/09/2000
	A16	6,094,729		Mann	07/25/2000
	A17	6,145,123		Torrey et al.	11/07/2000

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	Faloutsos, Christos et al., "Description and Performance Analysis of Signature File Methods for Office Filing", <i>ACM Transactions on Office Information Systems</i> , (July 1987), 5:3:237-257	
	C2	Embedded Trace Macrocell (Rev 1) Specification, (2000)	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number.

<sup>2</sup> See attached Kinds of U.S. Patent Documents.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Unique citation designation number.

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